

Every smooth cubic 4-fold  
in  $\mathbb{P}_{14}$  is rational

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Joint work with Michele BOLOGNESI  
from "Some loci of rational cubic  
fourfolds"; arXiv: 1504.05863

$X \subset \mathbb{P}_{\mathbb{C}}^5$  smooth cubic 4-fold

$\mathcal{Y} =$  moduli space cubic 4-folds  
in  $\mathbb{P}_{\mathbb{C}}^5$

$\Rightarrow$  (i)  $\mathcal{Y}$  irreducible quasi-proj. var.

$$(ii) \dim \mathcal{Y} = \dim \mathbb{P}(H^0(\mathcal{O}_{\mathbb{P}^5}(3))) - \dim \text{Aut } \mathbb{P}^5$$

$$= 55 - 35$$

$$= 20$$

let  $\pi = \mathbb{R}^2 \subset \mathbb{P}_{\mathbb{C}}^5$  be

a plane

$$\mathcal{Y}_g = \{ X \in \mathcal{Y} : X \supseteq \pi \} \subset \mathcal{Y}$$

$\implies$  (i)  $\mathcal{Y}_g$  closed and irreducible  
in  $\mathcal{Y}$

let  $\pi = \mathbb{P}^2 \subset \mathbb{P}^5$  be  
a plane

$$\mathcal{Y}_8 = \{ [X] \in \mathcal{Y} : X \supseteq \pi \} \subset \mathcal{Y}$$

$\Rightarrow$  (i)  $\mathcal{Y}_8$  irreducible and  
closed in  $\mathcal{Y}$

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$$\dim \mathbb{G}(2,5) = 9$$

$X \supseteq \pi$  10 conditions

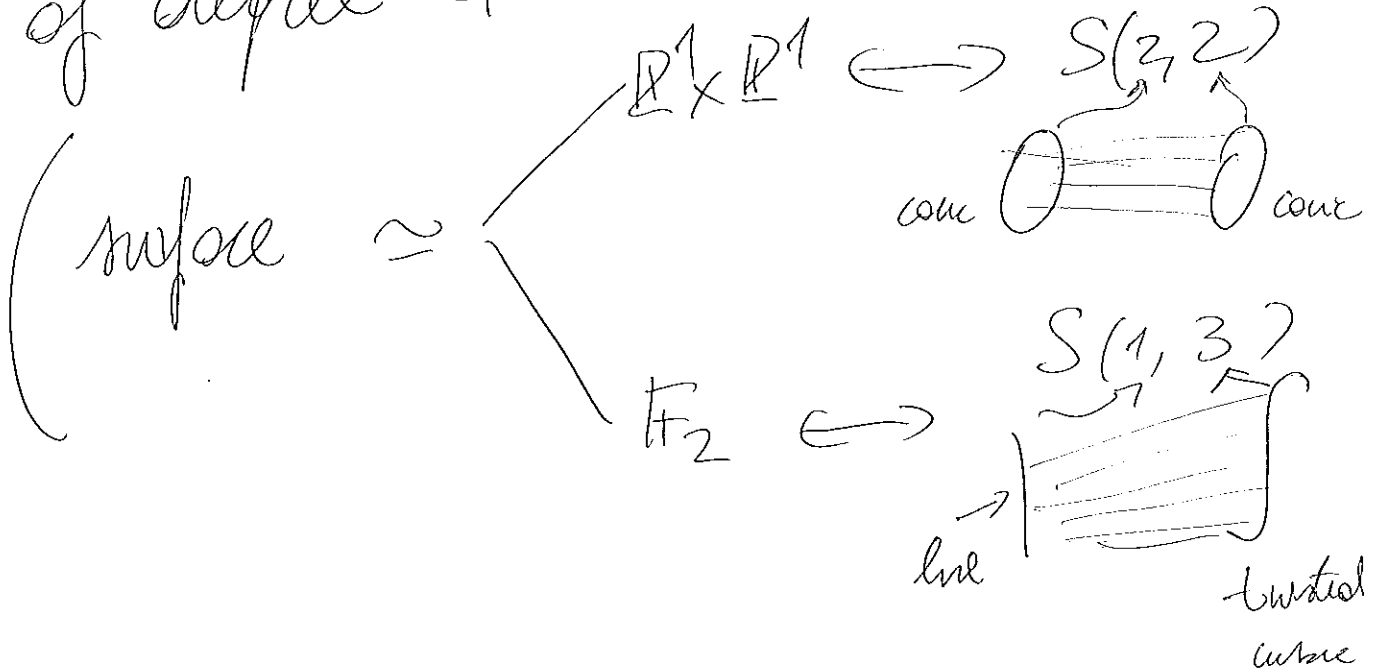
$$\Rightarrow \text{codim}(\mathcal{Y}_8, \mathcal{Y}) = 10 - 9 = 1$$

$\Rightarrow$  (ii)  $\mathcal{Y}_8$  is a divisor in  $\mathcal{Y}$

$T \subset \mathbb{P}^5$

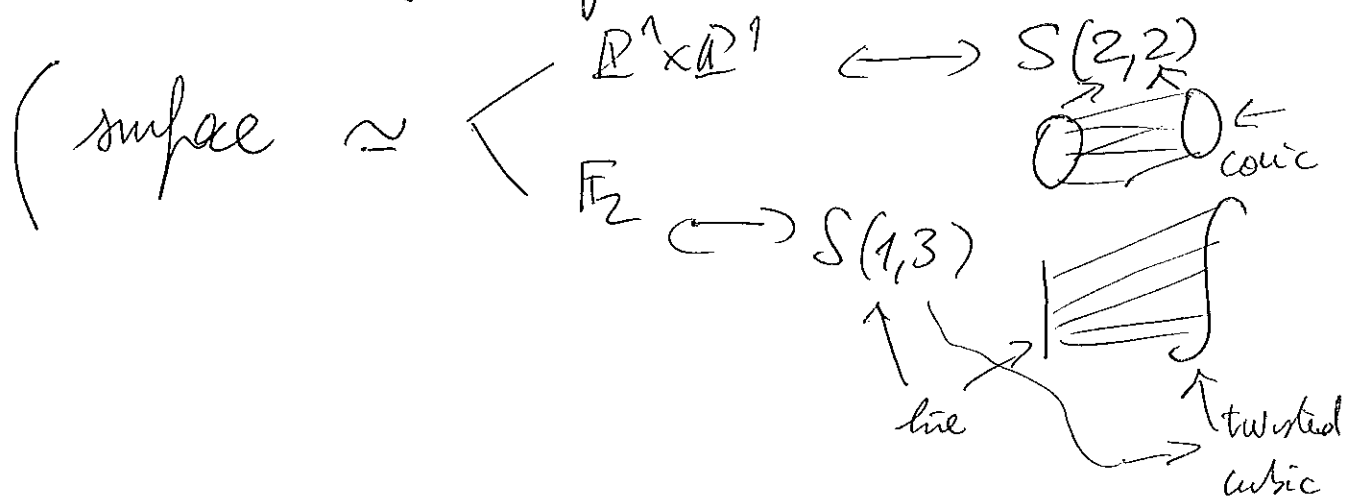
smooth rational normal scroll

of degree 4



$T \subset \mathbb{P}^5$

smooth rational normal  
scroll of degree 4 -



$S \subset \mathbb{P}^5$  smooth quintic

del Pezzo surface

$S \subset \mathbb{P}^1 \times \mathbb{P}^2 \subset \mathbb{P}^5$  as

a divisor of type  $(1,2)$

$X \supset S$  general cubic hyp.  
containing  $S$  math

$$\Rightarrow X \cap (\mathbb{P}^1 \times \mathbb{P}^2) = S \cup T$$

$(3,3)$  divisor                       $(1,2)$                        $(2,1)$

$X \supset S$  general cubic hyp  
 containing  $S$

$$X \cap (\mathbb{P}^1 \times \mathbb{P}^2) = S \cup T$$

$(3,3)$

$(1,2)$

$(2,1)$

$$\mathcal{P}_{14} = \{ [X] \in \mathcal{P} : X \supset T \}$$

$$= \{ [X] \in \mathcal{P} : X \supset S \}$$

Pf<sup>||</sup>

Pfaffon locus in  $\mathcal{P}_{14}$

$$\overline{\text{Pf}} = \mathcal{P}_{14}$$



Why  $\Psi_{14}$  or why  $\Psi_8$ ?

$h$  class of a hyp. section of  $X$

$$A(x) = A^2(x) \approx \underbrace{\{2\text{-cycles}\}}_{\sim \text{alg}}$$

$$\langle h^2, T \rangle = \langle h^2, S \rangle \quad \text{if } X \in \Psi_{14} \text{ general}$$

$$h^2 \begin{bmatrix} \overline{h^2} & T \\ 3 & 4 \end{bmatrix} \quad T^2 = 10$$

$$h^2 \begin{bmatrix} \overline{h^2} & S \\ 3 & 5 \end{bmatrix} \quad S^2 = 13$$

$$| \quad | = 14 \quad \Psi_{14}$$

$$\langle h^2, \pi \rangle \quad h^2 \begin{bmatrix} \overline{h^2} & \pi \\ 3 & 1 \end{bmatrix} \quad | \quad | = 8$$

$$\pi^2 = 3$$

$$\pi$$

$$\begin{bmatrix} 1 & 3 \end{bmatrix}$$

$$\Psi_8$$

Def (OADP variety)  $W^n$  equidimensional

$W^n \subset \mathbb{R}^{2n+1}$  is an OADP variety

if through a general  $p \in \mathbb{R}^{2n+1}$

there passes a unique secant

line  $l_p = \langle p_1, p_2 \rangle$  to  $W$

with  $p_i \in W$ ,  $p_1 \neq p_2$

Examples 0)  $W \subset \mathbb{R}^3$  twisted cubic

0')  $W = \mathbb{R}_1^1 \perp \mathbb{R}_2^1 \subset \mathbb{R}^3$  skew lines

1)  $W = T \subset \mathbb{R}^5$

2)  $W = S \subset \mathbb{R}^5$

3)  $W = \mathbb{R}_1^2 \perp \mathbb{R}_2^2 \subset \mathbb{R}^5$  skew planes

Proposition  $X \subset \mathbb{P}^{2n+1}$  cubic  
hypersurface

$X \supset W^n$  OADP-variety

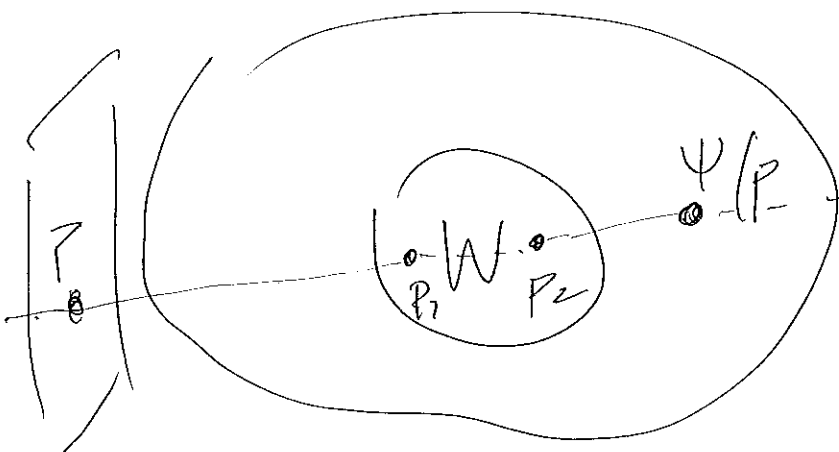
$\Rightarrow X$  rational

PF  $H = \mathbb{P}^{2n}$  general hyperplane

$p \in H$  general  $l_p = \langle p_1, p_2 \rangle$   
 $p_i \in W$

$\psi: H \dashrightarrow X$

$p \longrightarrow (l_p \cap X) \setminus \{p_1, p_2\}$



Zariski Main Theorem  
 $\Rightarrow$  through a general  
 $q \in X$  there passes a  
unique secant line to  
 $W$

Consequence (i) A general

$X \in \mathcal{Y}_{14}$  is rational

Remark a)  $\mathcal{Y}_n$  dimension  $\geq 4$

rationality is not known to

be a closed property on the base

of a flat deformation family of  
smooth varieties.

b) In dimension 3 it is true

(de Fernex - Fusi) ( $\leq 2$   
Well known)

Theorem 1 (Bogneri, —; 2015/16)

Every  $X \in \mathcal{Y}_{14}$  is rational

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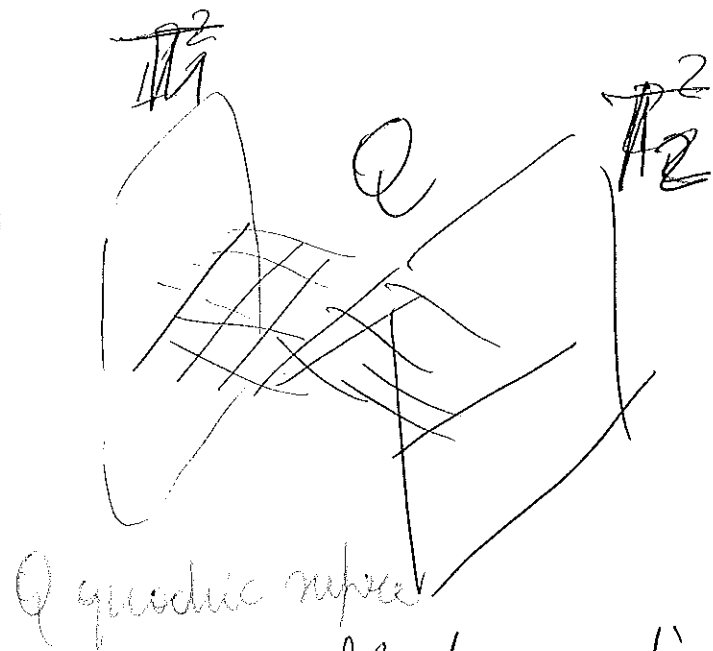
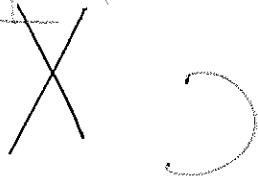
Expectation: (i)  $X' \in \mathcal{Y}_{14}$

$X_{\lambda_0} = X' \longleftarrow X_\lambda$  flat proj def  
 $\forall \lambda \neq \lambda_0 \quad X_\lambda \supset T = T_\lambda$

$\Rightarrow X'_{\lambda_0} \supset T_{\lambda_0}$  flat proj def  
of  $T = T_\lambda, \lambda \neq \lambda_0$

Key point:  $T_{\lambda_0}$  OADP surface,  
possibly REDUCIBLE (NOT OBVIOUS!!!)  
AT AIC

Example 3



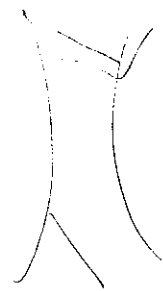
Q quadric surface

flat projective

deformation of T

$$\mathbb{P}^1 \cup \mathbb{P}^1 \cup Q$$

$$\Rightarrow X \in \mathcal{P}_8 \cap \mathcal{P}_{14}$$



Remark 1)  $X \notin Pf$ , i.e.  $X \notin S$  smooth quintic del Pezzo

2)  $X \notin T$  smooth rot. surf of degree 4

(Tregub)

3)  $\{ [X] \in \mathcal{P}_8 : X \supset \Pi_1 \perp \Pi_2 \}$  irreducible component of  $\mathcal{P}_8 \cap \mathcal{P}_{14} \Rightarrow Pf$  is not a

Key Remark: If  $W_{\lambda_0} \subset \mathbb{R}^{2n+1}$

is the flat limit of a family

$\{W_{\lambda}\}_{\lambda \neq \lambda_0}$  of OADP varieties

$\Rightarrow W_{\lambda_0}$  is a non-degenerate

scheme



$\mathbb{P}$   $p \in \mathbb{R}^{2n+1}$  general  
fixed

flx? unique secant line  
to  $W_x$  through  $P$ .

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$P \neq \emptyset$   $P \in \mathbb{P}^{2n+1}$  general & fixed

$\{l_\lambda\}_{\lambda \neq \lambda_0}$  unique secant

line to  $W_\lambda$  through  $P$ .

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$[l_\lambda]_{\lambda \neq \lambda_0} \in \mathbb{G}(1, 2n+1) \Rightarrow \exists$  limit line  
 $l_{\lambda_0}$

and  $\text{length}(l_{\lambda_0} \cap W_{\lambda_0}) \geq 2$

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Pf  $p \in \mathbb{P}^{2n+1}$  general  
fixed

$l_\lambda$ ,  $\lambda \neq \lambda_0$  unique secant line  
to  $W_\lambda$  through  $p$ .

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$[l_\lambda] \in G(1, 2n+1) \xrightarrow{\lambda \neq \lambda_0} \text{limit line}$   
 $l_{\lambda_0}$  passing through  
 $p$

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$\Rightarrow \text{length}(l_{\lambda_0} \cap W_{\lambda_0}) \geq 2$

---

$\Rightarrow p \in \langle W_{\lambda_0} \rangle \Rightarrow \langle W_{\lambda_0} \rangle = \mathbb{P}^{2n+1}$   
 $\square$

Ex  $W_\lambda \subset \mathbb{R}^3$  family of twisted  
 $\lambda \neq \lambda_0$  cubics = OADP ones

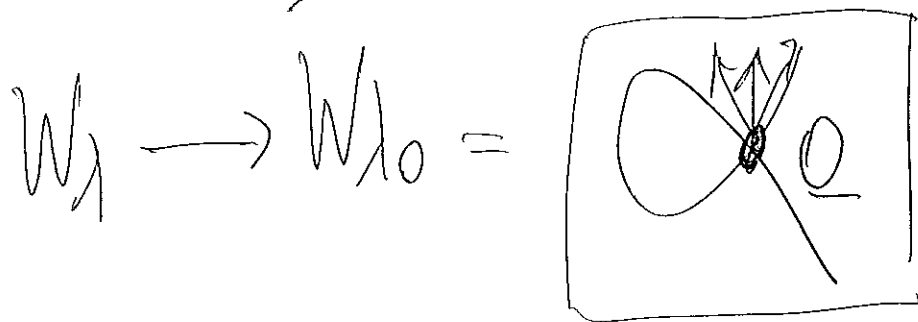
$$W_\lambda \longrightarrow W_{\lambda_0} = \left[ \begin{array}{c} \text{diagram of a plane cubic with a node} \end{array} \right]$$

$(W_{\lambda_0})_{\text{red}} = \text{plane cubic with a node}$

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EX  $W_\lambda \subset \mathbb{R}^3$  family of

twisted cubics = OADP irreducible  
curves



$(W_{\lambda_0})_{\text{red}} =$  plane cubic with 2 nodes

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$p \in \mathbb{R}^3$  general  $\Rightarrow \ell_{\lambda_0} = \langle P, \underline{\quad} \rangle$

$\Rightarrow \underline{T}_0 W_{\lambda_0} \ni P$

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$\Rightarrow \underline{T}_0 W_{\lambda_0} = \mathbb{R}^3$

$\Rightarrow W_{\lambda_0} \not\subset$  smooth cubic surface of  $\mathbb{R}^3$  !!

Theorem  $\frac{1}{2}$

Every  $X \in \mathcal{Y}_{14} \cup \mathcal{P}_8$   
contains a smooth  
rational normal scroll  $T$ .

In particular  $X$  is  
rational

Pf  $X \supset T_{\lambda_0}$  limit

of  $\{T_x\}_{x \neq \lambda_0}$

$T_\lambda$  rat. wound  
mod of  
degree 4

$X \notin \mathcal{P}_8 \Rightarrow (T_{\lambda_0})_{\text{red}}$

IRREDUCIBLE

$T_\lambda$  OADP  $\Rightarrow T_{\lambda_0}$  NON

DEGENERATE

$$T_x \longleftrightarrow [C_x] \subset \mathbb{P}(1,5)$$

$$\begin{array}{c} \vdots \\ \downarrow \\ T_{x_0} \end{array} \quad \begin{array}{c} \vdots \\ \downarrow \\ [C_{x_0}] \end{array}$$

$\Rightarrow (T_{x_0})_{\text{red}}$  ruled by lines

(? IRREDUCIBLE)

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(i)  $T_{x_0} = (T_{x_0})_{\text{red}}$

$$T_{x_0} = T \vee V$$

$T_{x_0}$  cone over a rational normal curve

$\Rightarrow$  ~~SINGULAR~~  
at the vertex of  $T_{x_0}$

$$(ii) \quad T_{X_0} \neq (T_{X_0})_{\text{red}}$$

$\Rightarrow (T_{X_0})_{\text{red}}$  degenerated

$\delta$  with an embedded point  $p_0$

$\Rightarrow X$  singular at

$p_0$ .

CONTRADICTION



Theorem (Baldwin, —; 2015/2016)

$X \in \mathcal{P}_{14}, \mathcal{P}_8$  fixed

$\Rightarrow Z = \overline{\tau \subset X}$  is

a proper scheme

(indeed a projective  
surface!)

PF Exactly as before.

NOW  $X$  fixed and

$\overline{\tau}$  varies inside  $X$ .  $\square$

What is  $Z$ ?

Theorem  $X \in \mathcal{P}_{14} \setminus \mathcal{P}_8$

$\Rightarrow Z$  smooth K3

surface of genus 8

of degree 14, i.e.

a general section of

$$B(1,5) \subset \mathbb{P}^{14}$$

with a  $\mathbb{P}^8$

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let us see this.

$$Y = \mathbb{R}^1 \times \mathbb{R}^3 \subset \mathbb{R}^7$$

$$\left\{ \text{rk} \begin{bmatrix} x_0 & x_1 & x_2 & x_3 \\ x_4 & x_5 & x_6 & x_7 \end{bmatrix} = 1 \right\}$$

$$\phi: \mathbb{R}^7 \dashrightarrow \mathbb{G}(1,3) \subset \mathbb{R}^5$$

$$\frac{\boxed{Bs \phi = Y}}{\phi^{-1}(\phi(p)) = \mathbb{R}_p^3} \xrightarrow{[A]} \left( \text{Plucker coordinates} \right) \text{ of } A \in \text{Mat}_{2 \times 4}(\mathbb{K})$$

$$\forall p \in \mathbb{R}^7 \setminus Y$$

$$\text{and } \mathbb{R}_p^3 \cap Y = \mathbb{R}^1 \times \mathbb{R}_p^1$$


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$$T = \mathbb{R}^5 \cap Y \quad \mathbb{R}^5 \subset \mathbb{R}^7 \text{ general}$$

$$\hat{\phi} = \phi|_{\mathbb{R}^5}: \mathbb{R}^5 \dashrightarrow \mathbb{G}(1,3)$$

close of the fibres are linear spaces.

$\Rightarrow \hat{\Phi}$  has quad fib & secant line to  $T$   
 $X \supset T$   $X$  smooth cubic 4-fold

$$\hat{\Phi}|_X : X \dashrightarrow \mathbb{G}(1,3) \quad \underline{\text{birational}}$$

let  $\tilde{Z}$  base locus of  $\hat{\Phi}|_X$

$$\hat{\Phi} : \text{Bl}_T X \longrightarrow \mathbb{G}(1,3) \quad \text{birational morphism}$$

$$X \in \mathcal{P}_{14,1} \mathcal{G} \implies \tilde{Z} \text{ smooth}$$

$$\tilde{Z} \xleftarrow{1:1} \left\{ \begin{array}{l} \text{secant lines to } T \\ \text{contained in } X \end{array} \right\}$$

classification + geometry of surfaces (Fano or Albani-Russo 2004)

$$\Rightarrow \tilde{Z} \simeq \text{Bl}_p \tilde{Z} \quad \text{with } \tilde{Z} \subset \mathbb{P}^8$$

degree 14 & genus 8

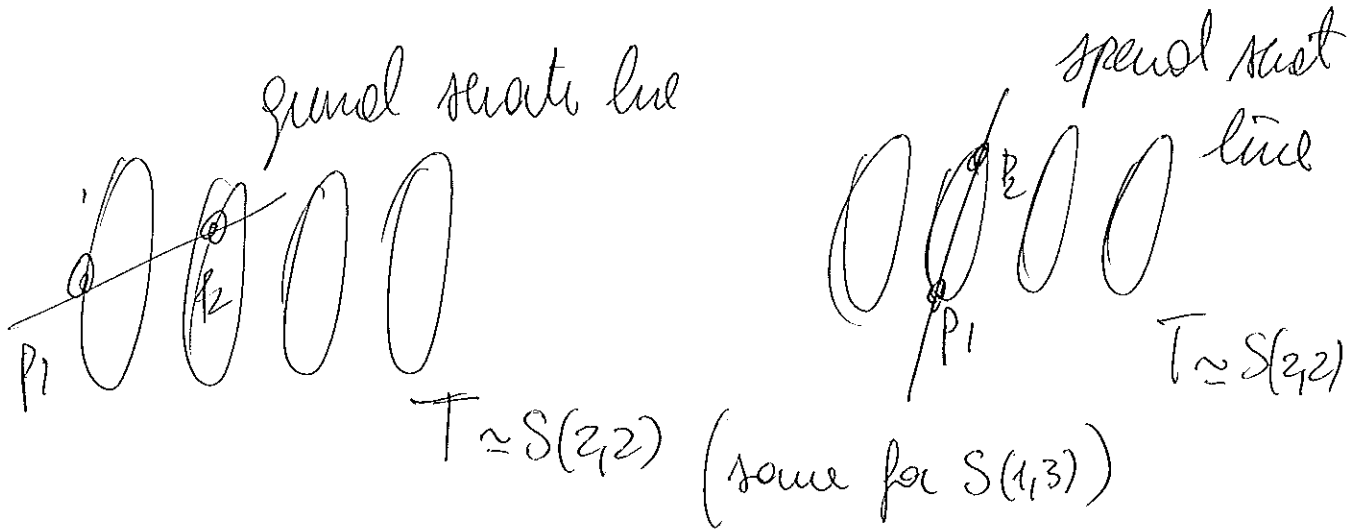
smooth K3 surface

$$\tilde{Z} \dashrightarrow \widehat{\tilde{Z}} \quad \text{torusoidal projection from } p \in \tilde{Z}$$

$$Bl_p Z \xrightarrow{\sim} \tilde{Z}$$

$\cup$   
 $E$  exc divisors

$E \subset \tilde{Z} \subset \mathbb{P}^5$   
 cone



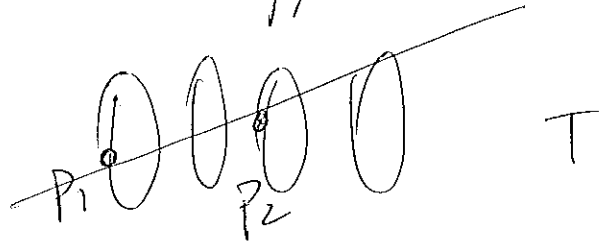
$$E \longleftrightarrow \left\{ \begin{array}{l} \text{special secant lines} \\ \text{to } X \text{ contained in } X \end{array} \right\}$$

$(\Sigma = \cup_{\lambda \in \mathbb{Q}^1} \langle C_\lambda \rangle \subset T \text{ conics } \Sigma \cap X = T \cup R \text{ } R \text{ degree } 5 \text{ scroll, union of special lines})$

We now explain Fano's Deformation argument.

## § Fano's deformation argument

let  $L = \langle p_1, p_2 \rangle$ ,  $p_i \in T$ , be a secant line to  $T$ , which now is supposed to be general



let  $\pi_L : T \dashrightarrow Q_L \subset \mathbb{R}^3$  be the projection from  $L$   
 $S^1$   
 $\mathbb{R}^1 \times \mathbb{R}^1$  quadric surface

let  $\{L_\lambda\}_{\lambda \in \Lambda_1 \approx \mathbb{R}^1}, \{L_\mu\}_{\mu \in \Lambda_2 \approx \mathbb{R}^1}$  be the

rulings of  $Q_L$ .

Define  $\{P_\lambda^3\}_{\lambda \in \Lambda_1}, \{P_\mu^3\}_{\mu \in \Lambda_2}$

with  $P_\lambda^3 = \langle L, L_\lambda \rangle$ ,  $P_\mu^3 = \langle L, L_\mu \rangle$ .

Then

$$F_\lambda = \mathbb{P}_\lambda^3 \cap X \subset \mathbb{P}_\lambda^3$$

$$G_\mu = \mathbb{P}_\mu^3 \cap X \subset \mathbb{P}_\mu^3 \quad \text{one cubic surfaces}$$

$$\text{such that } L \subset F_\lambda \cap G_\mu$$

We can suppose

$$\mathbb{P}_\lambda^3 \cap T = \tilde{L}_\lambda \quad \text{line of the ruling of } T$$

$\tilde{L}_\lambda \subset F_\lambda$

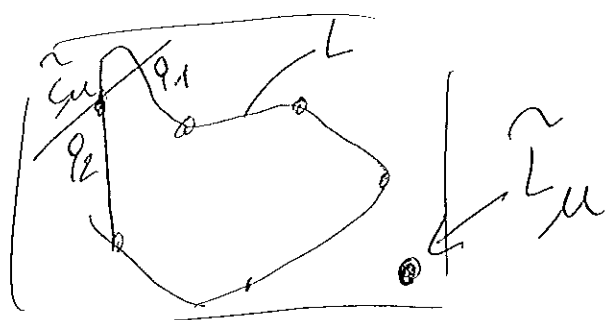
$$\mathbb{P}_\mu^3 \cap T = \tilde{C}_\mu \quad \text{twisted cubic contained in } T$$

$$\tilde{C}_\mu \subset G_\mu$$

$$\text{Since } \pi_L(\tilde{C}_\mu) = L_\mu \Rightarrow \tilde{C}_\mu \cap L = \{q_1, q_2\}$$

Claim  $\exists!$  line  $\tilde{L}_\mu \subset G_\mu$ :

$$\tilde{L}_\mu \cap \tilde{C}_\mu = \emptyset = \tilde{L}_\mu \cap L$$



Define  $\widehat{T}_L = \bigcup_{\mu \in \Lambda_2} \widehat{T}_\mu$  ( $T = \bigcup_{\lambda \in \Lambda_1} \widehat{T}_\lambda$ )

$\widehat{T}_L \subset X$  is a rational scroll

Claim  $\widehat{T}_L$  is a smooth rational normal scroll of degree 4.

Pf let  $\overline{L} \subset X$  be a special secant line  
let  $L \rightarrow \overline{L}$ . In this degeneration  
 $Q_L$  degenerates to  $Q_{\overline{L}} = \mathbb{P}_{\overline{L}}(T)$  which  
is a rank 3 quadric cone.

Thus  $\Lambda_1, \Lambda_2$  degenerates to a unique ruling  
 $\Lambda$  and  $\widehat{T}_L \rightarrow T$ .



$$\begin{array}{c} \tilde{Z} = \text{Bl}_p Z \longrightarrow Z \\ \cup \\ E \end{array}$$

Thus the ~~spa~~ special secant lines to  $T$  provides rational normal scrolls equal to  $T$  and the contraction of  $E$  to  $p$  yields the moduli space.

This is rigorously proved by this beautiful result of Fano  
Lemma (Fano)  $T_1, T_2 \subset X$  algebraically equivalent smooth rational normal scrolls of degree 4 ( $\Rightarrow T_1 \cdot T_2 = 10$ ),  
 $\Rightarrow \exists ! Q \subset \mathbb{P}^5$  quadric hyp. such that  
 (i)  $T_1 \cup T_2 \subseteq Q$   
 (ii)  $\text{Vert}(Q) = L$ ,  $L$  secant line to  $T_1$  and to  $T_2$  ( $\Rightarrow L \subset X$ )

$$\begin{array}{ccc} \psi: Z^{(2)} & \dashrightarrow & F(X) \\ [P_1, P_2] & \longrightarrow & \text{Vect}(Q) \end{array} \quad \begin{array}{l} \text{Hilbert scheme} \\ \text{of lines contained} \\ \text{in } X \end{array}$$

$Z$  moduli space of shells contained in  $X \in \mathcal{P}_{14} \setminus \mathcal{P}_8$

$Z$  is a K3 surface

Fano's deformation argument

implies that  $\psi$  extends

to an isomorphism

$$\widehat{\psi}: \text{Bl}_{\Delta} Z^{(2)} \longrightarrow F(X)$$



blow-up symmetric

product along the diagonal

Conolly (Beauville - Demazi)   
 isomorphism   
 generalized

$$X \in \mathcal{Y}_{14} \mid \mathcal{P}_8$$

$F(X) = \left\{ \begin{array}{l} \text{Hilbert scheme of lines} \\ \text{contained in } X \end{array} \right\}$

$$\Rightarrow F(X) \simeq \mathbb{Z}^{[2]} \underset{\Delta}{=} \text{Bl}_{\Delta} \mathbb{Z}^{(2)}$$

symmetric product.

# Proposition (Beauville) $X \in \mathcal{P}$

$X \supset S$   $\iff$   $X$  is Pfaffian, i.e.  
del Pezzo surface  
of degree 5

$$\exists A = \begin{bmatrix} & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \end{bmatrix}^3$$

$= -A^t$  matrix  
of linear forms  
on  $\mathbb{P}^5$ :

$$|A| = \text{Pf}(A)^2$$

$\uparrow$  cubic  
 $X = V(\text{Pf}) \subset \mathbb{P}^5$

$$\text{Pf} = \{ [X] \in \mathcal{P} : X \supset S \} \subset \mathcal{P}_{14}$$

Pfaffian locus

Beauville  $\implies$  (i)  $\overline{\text{Pf}} = \mathcal{P}_{14}$

(ii)  $\dim \overline{\text{Pf}} = 19$  (Beauville)

# Theorem 2 (Bolognesi, -, Stojanović)

2015

a)  $P_f$  is dense but not  
open in  $\mathcal{P}_{14}$

b)  $\{X \in \mathcal{P}_{14} : X \supset T\}$  is  
dense but not open  
in  $\mathcal{P}_{14}$