### Categorical representability and rationality

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# Semiorthogonal decompositions

- k is a field
- X a smooth and projective k-variety of dimension n
- $\mathbf{D}^{\mathrm{b}}(X)$  is a *k*-linear triangulated category

(nonsmooth cases = replace with categorical resolutions of singularities)

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### Definition

A semiorthogonal decomposition of  $\mathbf{D}^{\mathrm{b}}(X)$  is a set  $\mathbf{A}_1, \ldots, \mathbf{A}_r$  s.t.:

- $A_i \subset D^{b}(X)$  is admissible (i.e. full, thick and with adjoints)
- $\operatorname{Hom}_{\mathbf{D}^{\mathrm{b}}(X)}(A_j, A_i) = 0$  for all j > i, and  $A_*$  in  $\mathbf{A}_*$
- $\mathbf{D}^{\mathrm{b}}(X)$  is the smallest triangulated category containing the  $\mathbf{A}_i$ 's.

We write:  $\mathbf{D}^{\mathrm{b}}(X) = \langle \mathbf{A}_1, \ldots, \mathbf{A}_r \rangle$ .

# Exceptional objects

### Definition

For a simple k-algebra A, an object E in  $\mathbf{D}^{\mathrm{b}}(X)$  is A-exceptional if

•  $\operatorname{Hom}_{\mathbf{D}^{\mathrm{b}}(X)}(E, E) = A$ 

• 
$$\operatorname{Hom}_{\mathbf{D}^{\mathrm{b}}(X)}(E, E[i]) = 0$$
 for  $i \neq 0$ 

A sequence  $E_1, \ldots, E_r$  is exceptional if  $E_i$  is  $A_i$ -exceptional for all i and

•  $\operatorname{Hom}_{\mathbf{D}^{\mathrm{b}}(X)}(E_j, E_i[I]) = 0$  for j > i and all I.

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**Example.** If X is Fano of index *i*, any line bundle is *k*-exceptional, and  $\mathcal{O}, \ldots, \mathcal{O}(i-1)$  is an exceptional sequence.

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### Exceptional collections and Semiorthogonal decompositions

If *E* is *A*-exceptional in  $\mathbf{D}^{\mathrm{b}}(X)$ , then  $\langle E \rangle \simeq \mathbf{D}^{\mathrm{b}}(A)$  is an admissible subcategory in  $\mathbf{D}^{\mathrm{b}}(X)$ .

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If  $E_1, \ldots, E_r$  is an exc. sequence, then  $\langle E_1 \rangle, \ldots, \langle E_r \rangle$  are semiorthogonal.

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There is a semiorthogonal decomposition:

$$\mathbf{D}^{\mathrm{b}}(X) = \langle \mathbf{A}, E_1, \dots, E_r \rangle$$

where **A** is the category of objects left-orthogonal to the  $E_i$ 's.

#### Fanos of Picard rank one

Let X be a Fano variety of Picard rank one and index i. Then

$$\mathbf{D}^{\mathrm{b}}(X) = \langle \mathbf{A}_X, \mathcal{O}, \dots, \mathcal{O}(i-1) \rangle.$$

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#### Mori fibrations

Let  $p: X \to Y$  be a Mori fibration of relative index *i*. Then

$$\mathbf{D}^{\mathrm{b}}(X) = \langle \mathbf{A}_{X/Y}, p^* \mathbf{D}^{\mathrm{b}}(Y), \dots, p^* \mathbf{D}^{\mathrm{b}}(Y)(i-1) \rangle.$$

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#### Blow up

If  $X \to Y$  is the blow-up along a smooth Z of codimension d, then:

$$\mathbf{D}^{\mathrm{b}}(X) = \langle \mathbf{D}^{\mathrm{b}}(Y), \mathbf{D}^{\mathrm{b}}(Z)_1, \dots, \mathbf{D}^{\mathrm{b}}(Z)_{d-1} \rangle.$$

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angle.$$

- [Beilinson, Orlov] if p is a projective bundle, then  $\mathbf{A}_{X/Y} = 0$
- [Kuznetsov] if p is a quadric fibration, then  $\mathbf{A}_{X/Y} = \mathbf{D}^{\mathrm{b}}(Y, \mathcal{C}_0)$ , where  $\mathcal{C}_0$  is the even Clifford algebra of p.

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# Categorical Representability

#### Definition

We say that X is categorically representable in dimension m (or equivalently in codimension  $\dim (X) - m$ ) if there is a semiorthogonal decomposition

$$\mathbf{D}^{\mathrm{b}}(X) = \langle \mathbf{A}_1, \dots, \mathbf{A}_r \rangle,$$

and smooth projective  $Y_1, \ldots, Y_r$  of dimension  $\leq m$  such that  $A_i$  is admissible in  $D^{\mathrm{b}}(Y_i)$ .

We will use the following notations:

$$\operatorname{Rep}_{\operatorname{cat}}(X) := \{ \min \ m | X \text{ is c.rep. in } \dim \ m \} \\ \operatorname{coRep}_{\operatorname{cat}}(X) := \dim (X) - \operatorname{Rep} \mathbf{D}^{\operatorname{b}}(X),$$

and note that  $\operatorname{Rep}_{\operatorname{cat}}(\mathbb{P}^n) = 0$  for any *n*, for any *k*.

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### **Question.** Does X rational imply $\operatorname{coRep}_{\operatorname{cat}}(X) \ge 2$ ?

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• [Auel,-]: If X is a del Pezzo surface, yes, and the converse also holds.

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- [-,Bolognesi]: If  $k = \mathbb{C}$ , X is a threefold, and J(X) is a ppav,  $\operatorname{coRep}_{\operatorname{cat}}(X) \ge 2$  gives J(X) split by curves.

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- [-]: If X is the Artin-Mumford double solid, then  $\operatorname{Rep}_{\operatorname{cat}}(X) = 2$ .

In all the Mori fiber space cases, the relevant information is in  $A_{X/Y}$ .

# The ring of triangulated categories

From now on, k has weak factorization.

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PT(k) is the  $\mathbb{Z}$ -module generated by triangulated categories **A** which are admissible in some  $\mathbf{D}^{\mathrm{b}}(X)$ , where we set

$$C = A + B$$
 if  $C = \langle A, B \rangle$ .

The product on PT(k) is induced by  $\mathbf{D}^{\mathbf{b}}(X) \bullet \mathbf{D}^{\mathbf{b}}(Y) = \mathbf{D}^{\mathbf{b}}(X \times Y)$ , whence PT(k) is a commutative ring with unit  $\mathbf{e} = \mathbf{D}^{\mathbf{b}}(k)$ .

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Categorical representability induces a ring filtration:

$$\mathsf{PT}_d(k) := \langle \mathbf{D}^{\mathrm{b}}(X) \mid \operatorname{Rep}_{\operatorname{cat}}(X) \leq d 
angle^+$$

It is not know whether  $\mathbf{D}^{\mathrm{b}}(X) \in PT_d(k)$  implies  $\operatorname{Rep}_{\operatorname{cat}}(X) \leq d$ .

**Example** We note that  $\mathbf{D}^{\mathrm{b}}(\mathbb{P}^n) \in PT_0(k)$  for all n.

### Motivic measures

Suppose k has weak factorization.

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The Grothendieck ring of varieties  $K_0(Var(k))$  is the  $\mathbb{Z}$ -module generated by smooth and proper varieties where we set

[X] - [Z] = [Y] - [E]

if  $Y \to X$  is the blow up of Z with exceptional divisor E.

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A motivic measure is a ring homomorphism  $K_0(Var(k)) \to R$ .

We set  $\mathbb{L} := [\mathbb{A}^1]$  the class of the affine line and note that  $[\mathbb{P}^n] = \sum_{i=0}^n \mathbb{L}^i$ .

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### Bondal-Larsen-Lunts and Larsen-Lunts measures

Larsen-Lunts' Measure

$$\mu: K_0(\operatorname{Var}(k)) \to SB(k)$$

sending [X] to its stably birational equivalence class is a motivic measure whose kernel is the ideal  $\langle \mathbb{L} \rangle$ .

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Bondal-Larsen-Lunts' measure

$$\nu: K_0(\operatorname{Var}(k)) \to PT(k)$$

sending  $[X] \rightarrow \mathbf{D}^{\mathrm{b}}(X)$  is a motivic measure.

Note that  $\nu(\mathbb{L}) = \mathbf{e}$ .

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### The noncommutative motivic rational defect

By Larsen-Lunts, and weak factorization, if X is rational, then:

$$[X] = [\mathbb{P}^n] + \mathbb{L} \sum M_X$$

in  $K_0(Var(k))$ , where  $M_X$  are classes of varieties of dimension  $\leq n-2$ .

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in  $K_0(Var(k))$ , where  $M_X$  are classes of varieties of dimension  $\leq n-2$ . Applying  $\nu$ , we obtain:

### Proposition

If X rational then  $\mathbf{D}^{\mathrm{b}}(X)$  is in  $PT_{n-2}(k)$ .

#### Proposition

Let  $X \to Y$  be a Mori fibration with either Y rational or dim  $(Y) \le n-2$ .  $\mathbf{D}^{\mathrm{b}}(X)$  is in  $PT_{n-2}(k)$  if and only if  $\mathbf{A}_{X/Y}$  is in  $PT_{n-2}(k)$ .

# Categorical represented in low dimensions

Proposition

For any k, a k-linear triangulated category **A** is representable in dimension 0 if and only if there exists an étale k-algebra K and  $\mathbf{A} \simeq \mathbf{D}^{\mathrm{b}}(K)$ 

# Categorical represented in low dimensions

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#### Proposition (Okawa)

For  $k = \overline{k}$ , a k-linear triangulated **A** is representable in dimension 1 but not 0 if and only if there is a smooth projective curve of genus g > 0 such that  $\mathbf{A} \simeq \mathbf{D}^{\mathrm{b}}(C)$ .

**Note.** A complete classification holds for any *k* as well.

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k is any field, S a del Pezzo surface.

### Theorem (Auel-B, 2015)

*S* is rational if and only if  $\operatorname{Rep}_{\operatorname{cat}}(S) = 0$  if and only if **A**<sub>S</sub> is representable in dimension 0.

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There exists a well-defined category  $\mathbf{GK}_S$  which is a birational invariant. It is trivial if and only if S is rational.

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If deg(S)  $\geq$  5, then there are two vector bundles V<sub>1</sub>, V<sub>2</sub> such that  $A_i := \text{End}(V_i)$  is semisimple and controls the birational geometry of S: from  $c_2(V_i)$  and  $A_i$  we can construct **GK**<sub>S</sub>, and we can calculate the (arithmetical) index of S.

### Sketch of the proof.

• If S is minimal, then  $K_0(S) \simeq \mathbb{Z}^3$ , then  $\operatorname{Rep}_{\operatorname{cat}}(S) = 0$  implies  $\mathbf{D}^{\operatorname{b}}(S) = \langle \mathbf{D}^{\operatorname{b}}(I_1), \mathbf{D}^{\operatorname{b}}(I_2), \mathbf{D}^{\operatorname{b}}(I_3) \rangle$  with  $I_i/k$  finite extension.

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- It follows that there is an exceptional collection of D<sup>b</sup>(S) in three blocks (chunks of mutually orthogonal exceptional bundles).

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- Karpov-Nogin et al. showed that there are only a finite number of such collections and that they are made of vector bundles. Consider the vector bundles V<sub>1</sub>, V<sub>2</sub> and V<sub>3</sub> generating each block.

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- The descent of V<sub>i</sub>'s contradicts minimality if deg(S) ≤ 4, and in this case S is never rational. We set GK<sub>S</sub> := A<sub>S</sub> = ⟨O⟩<sup>⊥</sup>.

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- If deg(S) ≥ 5, we have V<sub>3</sub> = O, and V<sub>1</sub> and V<sub>2</sub> always descend, and X is rational if and only if End(V<sub>i</sub>) is étale. We set GK<sub>S</sub> to be the product of derived categories of those non-étale algebras.

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### Amitsur-type consequences

#### Proposition (Antieau)

If A, B are simple k-algebras with centers  $I_A$  and  $I_B$ , then  $\mathbf{D}^{\mathrm{b}}(A) \simeq \mathbf{D}^{\mathrm{b}}(B)$ if and only if  $I_A \simeq I_2$  and A and B have the same class in  $\mathrm{Br}(I_A)$ .

If S is minimal of degree deg(S)  $\geq$  5 the Brauer classes of A<sub>1</sub> and A<sub>2</sub> are a birational invariant.

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Brauer-Severi case

If S = BS(A) is a Brauer–Severi surface, and A has order 3. We have

$$A_1 = A \quad , \ A_2 = A^2$$

so that our result is the Amitsur conjecture for surfaces.

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### Proposition (Vial)

Let S be a surface such that  $\mathbf{D}^{\mathrm{b}}(S)$  is generated by k-exc. objects. Then S has an integral decomposition of the diagonal.

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Let S be a surface such that  $\mathbf{D}^{\mathrm{b}}(S)$  is generated by k-exc. objects. Then S has an integral decomposition of the diagonal.

Recall: the latter means that there is a zero-cycle p on S such that  $\Delta = p \times S + Z$  in  $CH_2(S \times S)_{\mathbb{Z}}$  with Z supported on  $S \times V$  and  $\dim(V) < 2$ . It is a necessary (but not sufficient) condition for stable rationality.

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#### Definition

An admissible subcategory **A** of some  $D^{b}(X)$  is a phantom if  $K_{0}(\mathbf{A}) = 0$ and  $HH_{*}(\mathbf{A}) = 0$ .

#### Proposition

Let S be a surface with a semiorthogonal decomposition

 $\langle \mathbf{A}, E_1, \ldots, E_r \rangle,$ 

with  $E_i$  are k-exceptional and **A** a phantom. Then S has an integral decomposition of the diagonal.

### Sketch of the proof.

• If  $\chi(\mathcal{O}_S) = 1$  and  $\mathcal{K}_0(S)_{\mathbb{Z}} \simeq \mathbb{Z}^n$  is generated by  $\chi$ -semiorthogonal objects, then S a 0-cycle a of degree 1.

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- Under these assumptions  $CH_0(S) = \mathbb{Z}[a]$ , and  $CH_1(S) = Pic(S)$ . Moreover,  $K_0(S) \simeq CH_*(S)$  as a  $\mathbb{Z}$ -module.

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- Under these assumptions  $CH_0(S) = \mathbb{Z}[a]$ , and  $CH_1(S) = Pic(S)$ . Moreover,  $K_0(S) \simeq CH_*(S)$  as a  $\mathbb{Z}$ -module.
- Via GRR, transform the matrix of the bilinear form  $\chi$  into the matrix of the intersection form on Pic(S). From the  $\chi$ -semiorthogonality, we have a natural base  $D_i$  and unimodularity.

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### Sketch of the proof.

- If  $\chi(\mathcal{O}_S) = 1$  and  $K_0(S)_{\mathbb{Z}} \simeq \mathbb{Z}^n$  is generated by  $\chi$ -semiorthogonal objects, then S a 0-cycle a of degree 1.
- Under these assumptions  $CH_0(S) = \mathbb{Z}[a]$ , and  $CH_1(S) = Pic(S)$ . Moreover,  $K_0(S) \simeq CH_*(S)$  as a  $\mathbb{Z}$ -module.
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- If χ(O<sub>S</sub>) = 1 and K<sub>0</sub>(S)<sub>ℤ</sub> ≃ ℤ<sup>n</sup> is generated by χ-semiorthogonal objects, then S a 0-cycle a of degree 1.
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- Base changing to any field, we obtain that the action of z is trivial on  $CH_*(S_K)$ , hence z is nilpotent, hence trivial.
- Conclusion:  $\Delta = a \times S + S \times a + \sum D_i \times D_i^{\vee}$

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 $k = \mathbb{C}$ . Let X be a Fano threefold, or a conic bundle over a a rational surface, or a del Pezzo fibration over  $\mathbb{P}^1$ .

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[Clemens-Griffiths] There is a ppav  $A_X \subset J(X)$  (the *Griffths component*) which is a birational invariant, and  $A_{\mathbb{P}^3} = 0$ .

Recall:  $A_X$  is the maximal ppa subvariety not containing Jacobians of curves.

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#### Proposition (-,Bolognesi, 2011)

Suppose that X has J(X) ppav with an incidence polarization. In this case if  $\operatorname{Rep}_{\operatorname{cat}}(X) \leq 1$  we have  $A_X = 0$ .

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- having an incidence polarization is known in almost all cases.
- [-,Tabuada 2014] For a Mori fiber space  $X \to Y$  as above, there is a well defined Jacobian  $J(\mathbf{A}_{X/Y})$  as ppav, and  $J(\mathbf{A}_{X/Y}) = J(X)$

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 If there is a curve C such that D<sup>b</sup>(C) → D<sup>b</sup>(X) is admissible, then the functor is Fourier–Mukai, i.e. there is an object E in D<sup>b</sup>(X × C) representing it.

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- The incidence property shows that  $\phi$  preserve the principal polarization (and the kernel is trivial).
- If  $\operatorname{Rep}_{\operatorname{cat}}(X) = 1$ , then

$$\mathbf{D}^{\mathrm{b}}(X) = \langle \mathbf{D}^{\mathrm{b}}(C_1), \dots, \mathbf{D}^{\mathrm{b}}(C_r), E_1, \dots, E_s \rangle$$

so that  $CH_*(X)_{alg=0,\mathbb{Q}} = \bigoplus_{i=1^r} \operatorname{Pic}^0(C_i)$  via GRR.

Some converse of the previous theorem is known via explicit examples:

Rational 3folds

• If X is a rational Fano threefold of Picard rank one [Kuznetsov, Orlov]

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- If  $X \to Y = \mathbb{P}^1$  is a rational del Pezzo fibration of degree 4 [Auel,-,Bolognesi]
- If  $X \to Y$  is a rational conic bundle over a minimal surface [-,Bolognesi]

Then  $\operatorname{Rep}_{\operatorname{cat}}(\mathbf{A}_{X/Y}) \leq 1$ .

Let  $X \to \mathbb{P}^3$  be the Artin-Mumford double solid, and  $W \to X$  the blow up of its 10 singular points. W is not rational but J(W) = 0.

Proposition

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We could also use a minimal resolution Z of X which is just a Moishezon manifold and use similar arguments.

Marcello Bernardara (IMT)

Categorical representability

# Thank you!

Marcello Bernardara (IMT)

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